



PATENT

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

Phoenix, Arizona  
October 7, 2003

**Applicant:** Jae-Hyun Ryou et al.      **Group:** 2828  
**Serial No.:** 10/607,758      **Examiner:** t.b.d.  
**Filed:** 2/27/2003      **Atty Docket No.:** H0004788  
**For:** VCSEL HAVING THERMAL MANAGEMENT

---

Box Patent Application  
Assistant Commissioner of Patents  
POB 2327  
Arlington, VA 22202

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance if a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:  
☒ incorrectly entered  

*and / or*

☐ omitted.

<b>Error in</b>	<b>Correct data</b>
1. <input type="checkbox"/> Applicant's name	
2. <input type="checkbox"/> Applicant's address	1.
3. <input type="checkbox"/> Title	2.
4. <input type="checkbox"/> Filing date	3.
5. <input type="checkbox"/> Serial number	4.
6. <input checked="" type="checkbox"/> Other: Attorney Docket No.	6. H0004788

Also attached hereto is a copy of the Filing Receipt corrected to read as shown above and copies of the signature pages for both the Declaration and Assignment showing the correct spelling of the first inventor's address.

3. (complete the following applicable item)

A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

or

B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h), of \$25.00 is paid as follows:

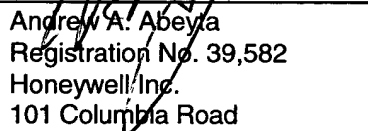
☐ Enclosed is check for \$25.00.

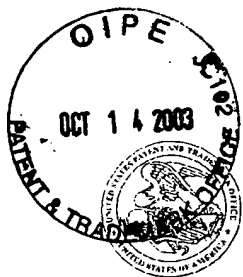
☐ Charge Account 01-1125 \$25.00.

4. Although it is not thought any fee is due, this is authorization to charge account 01-1125 for any additional fee required. A duplicate copy of this petition is attached.

Date:

10/8/03

  
\_\_\_\_\_  
Andrew A. Abeyta  
Registration No. 39,582  
Honeywell Inc.  
101 Columbia Road  
P.O. Box 2245  
Morristown, New Jersey 07962  
Telephone: 602-313-3345  
Facsimile: 602-313-4559



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/607,758	06/27/2003	2828	1026	H000478 (1139.1138101)	12	26	5

000128  
HONEYWELL INTERNATIONAL INC.  
101 COLUMBIA ROAD  
P O BOX 2245  
MORRISTOWN, NJ 07962-2245

H0004788

CONFIRMATION NO. 7402

## FILING RECEIPT



\*OC000000010907761\*

Date Mailed: 09/23/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jae-Hyun Ryou, Maple Grove, MN;  
Michael D. Ringle, New Hope, MN;  
Yue Liu, Plymouth, MN;

## Assignment For Published Patent Application

Honeywell International Inc.;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 09/22/2003

Projected Publication Date: 12/30/2004

Non-Publication Request: No

Early Publication Request: No

## Title

VCSEL having thermal management

RECEIVED  
LAW DEPARTMENT  
2003 SEP 26 A 9:11  
CENTRAL RECORDS - MTO

sm 10/2/03

**Preliminary Class**

372

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

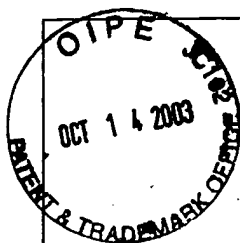
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Docket No. H0004788 US

Serial No. 10/607,758

Filed June 27, 2003

## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop: Patent Application  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on

(Date)

Signature

J. Nichole Oblinger

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Request for Corrected Filing Receipt, two pages;
2. Copy of original filing receipt with changes redlined, one page;
3. Certificate of Mailing
4. Return post card.